

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 19658-AD20-0915-127

IN THE MATTER OF:)

Gerard Dubois)
5126 Sunset Ridge Dr.,)
Colorado Springs, CO 80917)

Applicant.)

Type of Agency Action: Enforcement)

License Application #: 793114)

FILED

NOV 19 2020

STATE OF INDIANA
DEPT. OF INSURANCE

PRELIMINARY ADMINISTRATIVE ORDER
AND NOTICE OF LICENSE DENIAL

The Indiana Department of Insurance ("Department"), pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq.* and Indiana Code § 27-1-15.6-12, hereby gives notice to Gerard Dubois ("Applicant") of the following Administrative Order:

1. Applicant submitted an application for nonresident insurance producer licensure with the Commissioner of the Indiana Department of Insurance ("Commissioner") on July 23, 2020.
2. Before approving an application, the Commissioner must find that the applicant has met specific requirements under Indiana Code § 27-1-15.6-8 and Indiana Code § 27-1-15.6-12.
3. Indiana Code § 27-1-15.6-12(b)(6) states that the Commissioner may refuse to issue an insurance producer license for having been convicted of a felony.
4. Following a review of public records and the materials submitted by the Applicant in support of his application, the Commissioner being fully advised, now hereby notifies Applicant that he has not fully met the requirements of licensure, as stated by Indiana Code

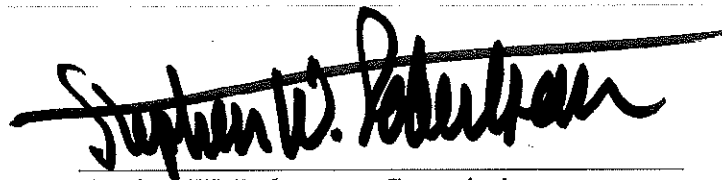
§ 27-1-15.6-12(b)(6), due to Applicant's criminal history, which includes a felony conviction from January 27, 2011, for Controlled Substance Distribute Schedule 4, a Class 5 Felony, in the State of Colorado.

5. Indiana Code § 27-1-15.6-12(d) provides that the Applicant may, not more than sixty-three (63) days after notice of denial of the applicant's application is mailed, make written demand to the Commissioner for a hearing before the Commissioner to determine the reasonableness of the Commissioner's action.
6. This is considered an agency action. This action has been initiated by the aforementioned authority. If you choose to appeal this agency action, the State of Indiana Office of Administrative Law Proceedings ("OALP") will assign an administrative law judge to preside over this matter, and subsequently you will be contacted by the OALP with more information to begin the administrative process.

IT IS THEREFORE ORDERED that Applicant's request for licensure is hereby DENIED pursuant to Indiana Code § 27-1-15.6-12(b)(6), due to Applicant's criminal history with a felony conviction. Applicant may reapply for licensure not less than one (1) year from the date of this order.

11-19-2020

Date Signed



Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution to:

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